

INDIAN BANK (Debenture Trustee)

COMPLIANCE CERTIFICATE FOR THE HALF YEAR ENDED MARCH 2021

A	No conflict of interests with other activities					
	The activities other than debenture trusteeship performed by DT are not in conflict with DT activities and appropriate systems and policies have been put in place to protect the interests of debenture holders.					Yes
B	Change in status or constitution					Nil
	Reporting of 'changes in status or constitution' of DT (In terms of SEBI Circular No. CIR/MIRSD/10/2011 dated June 20, 2011)					
C	Other Information					Nil
	(i) Details of arrest / conviction of key officials of DT					Nil
	(ii) Details of prosecution cases or criminal complaints filed by investors against the DT					Nil
	(iii) Details of any fraudulent activity by the employees associated with DT activities and action taken by the DT					Nil
	(iv) Details of conviction of any offence involving moral turpitude or any economic offence by employees of DT					Nil
	(v) Action taken by the DT on the above issues					
D	Compliance with registration requirements					
	Certified that the requirements specified for SEBI registration as DT are fulfilled, the details are as under;					Rs. 33703.09 Crs
	(i) Net worth (audited) as defined in the Regulations as on FY ended 31.03.2021					NIL
	(ii) Any change in infrastructure since the last report / registration/ renewal					
	(iii) Changes in Key personnel during the half year ended MARCH 2021)					
Sl.no	Name(s) of the key personnel	Appointment	Date of Appointment	Qualification	Experience	Functional areas of work
1	Shri Imran Amin Siddiqui	Appointed as Executive Director	10.03.2021	Engineering Graduate from HBTI, Kanpur and Certified Associate of Indian Institute of Bankers.	Mr. Imran Amin Siddiqui assumed charge as Executive Director of Indian Bank on 10th March, 2021. He is an Engineering Graduate from HBTI, Kanpur and a Certified Associate of Indian Institute of Bankers. He started his banking career as a SSI field Officer on 28th December, 1987. He is having a diversified experience of more than 33 years in the field of banking. Sri Siddiqui has business development background which comes from his strategic positioning as Zonal Manager and Field General Manager in the field for almost 07 years put together in different zones like Kolkata Urban, Barasat and has headed the entire West Bengal and all of the North East states as Field General Manager. He has also worked in various verticals at the administrative offices. At the Corporate level, he has steered the Credit Department and Credit Monitoring Department with his expertise. He was heading the Resources and Government Relationship department at Corporate Office, Chennai before his elevation.	
1	Cessation Shri M K Bhattacharya	Shri M K Bhattachary , Executive Director of the Bank has demitted office on 30.11.2020 upon superannuation. Accordingly, Shri M K Bhattacharya has ceased to be Executive Director of the Bank with effect from 01.12.2020				

E	Details of deficiencies and non compliances	Nil
F	Details of the review of the report by the Board of Directors	29.05.2021
	Date of Board Review (dd/mm/yyyy)	
	Observation of the BoD on	Nil
	i) the deficiencies and non compliances :	Nil
	ii) corrective measures initiated	
	Certified that we have complied with SEBI (Debenture Trustee) Regulations, 1993, applicable provisions of SEBI (Issue and Listing of Debt Securities) Regulations, 2008, Circulars issued by SEBI and any other laws applicable from time to time except the deficiencies and non compliances those specifically reported at Section IV (E) above:	
	Name of Compliance Officer	E Anil Babu
	Email ID	debenturetrustee@indianbank.co.in
	Signature	<i>E Anil Babu</i>

