

Reply to the pre-bid queries raised regarding RFP floated calling cert-in certified audit firms for conduct of IS Audit of ICT for the period 2017-19 - reference CO:INSP: 1 /2017-18 dated 1.6.2017

Sl. No.	Clause No/Page NO	RFP Term/General Query	Our replies to queries
1	PART A- TECHNICAL BID & Page No 12;Point 4.3.a.	Bid security for Rs.2,00,000/- (Rupees Two lakhs only) in the form of Bank Guarantee valid for 120 days from the last date for submission of Tender	EMD and non-refundable fees for RFP is waived if the audit firm is registered with NSCI and/or MSME specifically for line of activity as quoted in the RFP
		RFP Clause – Fees for RFP document (non-refundable) : Rs 10,000/- Bid Security (Bank Guarantee) : Rs 200,000/-	
2	PART A- TECHNICAL BID 5.1 #18	Please give details of Information System Audit of Core Banking System carried out for Scheduled Commercial Banks in the past 3 years. The details of services and the scope to be indicated. Query : Does the bidder need to produce a Purchase Order (PO) to suffice this requirement?	Any document that specifically contains the scope of IS audit of Core Banking System carried out in any scheduled commercial bank in India within the past 3 years and completion certificate issued by the auditee or any other equivalent proof including purchase order in the specified area
3	PART A- TECHNICAL BID 5.1 #19	Please give details of Information System Audit of Internet Banking, SWIFT, Mobile Apps, etc. carried out for any Banks in India, Overseas Audit assignments if any may be specified separately. Query : Does the bidder need to produce a Purchase Order (PO) to suffice this requirement?	Any document that specifically contains the Information system audit of Internet Banking, SWIFT, Mobile Apps, etc carried out in any scheduled commercial bank in India and completion certificate issued by the auditee or any other equivalent proof including purchase order in the specified area and/or Overseas Audit assignments completed, if any
4	PART A- TECHNICAL BID 5.1 #23	Capability on security, remote management for security, checking and device management. Query : What details does the bidder need to submit?	Any document that specifically contains the completion of audit in areas pertaining to security, remote management for security, checking and device management issued by the auditee or any other equivalent proof including purchase order in the specified area
5	PART A- TECHNICAL BID 5.1 #24	Details of Internal expertise in networking, application development, security integration with application. Query : What details does the bidder need to submit?	The details of audit conducted in other Scheduled Commercial banks with respect to networking, application development and security integration with application. The details and the professional qualification of the officials who are likely to be involved in the audit of ICT of our bank

6	Annexure 1 #12	<p>The bidder should have conducted minimum three IS audit of Data Centre/ DR Site etc. connected with minimum 1000 branches /Offices during last 3 years out of which at least two audit should be for Scheduled Commercial banks in India . The proposal should include certificates stating successful completion of the mentioned audit engagements</p> <p>Query : Will producing a Purchase order (PO) suffice this requirement?</p>	As per RFP
7	3.2 Vertical 2	<p>Vulnerability Assessment and Penetration Testing on all Bank"s critical/non-critical assets</p> <p>Vulnerability assessment on critical devices and systems and penetration testing to ensure software resilience to be conducted every quarter as per Bank's IS Security Policy; Review of effectiveness and Automated vulnerability scanning tool (VAS) used periodically on systems in the Bank's networks</p> <p>Query : Required assets details with location: No of servers, No. of Routers/Switches/firewall/Desktops/Laptops/Wireless Access Point, No. of Public IPs, Is VLAN Configured</p>	Bank's Servers are located in data centres in Chennai, Hyderabad and Mumbai. The indicative number of servers located in various offices in Chennai is around 140+ and in Hyderabad is around 100+ separated by VLANs. Public IPs have been configured for accessing customer facing applications.
8	3.2 Vertical 2	<p>Vulnerability assessment on critical devices and systems and penetration testing to ensure software resilience to be conducted every quarter as per Bank's IS Security Policy; Review of effectiveness and Automated vulnerability scanning tool (VAS) used periodically on systems in the Bank's networks</p> <p>Query : Kindly provide the count of devices to be included for Vulnerability assessment and penetration testing.</p>	The Vulnerability Assessment and Penetration testing of Bank's Servers located in data centres in Chennai, Hyderabad and Mumbai to be conducted annually. The indicative number of servers located in various offices in Chennai is around 140+ and in Hyderabad is around 100+ separated by VLANs.
9	7- Annexure 2 #3. b.	<p>Application level Security Audit</p> <p>Query : Does the scope include audit of just one application (CBS) or are more applications part of this scope?</p>	Scope will cover security audit of 25+ applications running in the bank as on the date of RFP including CBS. Further details will be provided to the successful bidder
		<p>Query : What will be the base location for this audit?</p> <p>Any other location/s to be visited by the bidder as a part of this audit?</p> <p>In terms of percentage, please specify how much time will be spent at the base location and how much time will be spent at other locations (if any).</p>	The base location of audit will be chennai. Other locations will cover metros and branches within 100 kms of metro
10	5.3 Commercial Bid	As per your Commercial Table, we have to submit our Cost per year + Taxes = Total cost, inclusive of Taxes.	Please refer Commerical Bid. Taxes as applicable at the time of payment shall be paid.

11	RFP	<p>General Queries : details of applications and Infrastructure under the scope of VA/PT & IS audit for vertical 1 & 2 Data Center Near Disaster Recovery Site Disaster Recovery Site Digital Banking Division at Chennai Information Technology Division at Chennai Information System Security Cell at Chennai CO International Division at Chennai Treasury Branch at Mumbai</p> <p>details required for above vertical - 1 & vertical 2 - Application details whether web or non web - details & numbers of DB server - details & numbers of server with OS - details & numbers of networking devices</p>	will be provided to the successful bidder
12	RFP	<p>General Queries :</p> <p>Location of DR site, SOC ATM switch vendor & location of audit Details of outsourced vendors & locations</p>	will be provided to the successful bidder
		CTS Audits for all 3 grids or 1 sample grid	sample grid to be audited
		Tentative locations of branches for Branch audits under scope	Tentatively planned for branches within 100 Kms of metro
		Is there any functional audit for CBS or other applications to be performed ?	Functional audit excludes CBS
13	Annexure 1 # 2	Request for relaxation of minimum turnover criteria of Rs. 2 crores for the last three years	As per Bank's requirement the minimum turnover criteria should be Rs. 2 crores for the last three years. Any request for relaxation cannot be considered.